

Serial No.

Docket No.: 122055-4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Grant Hay et al.  
Serial No.:  
Filed:  
Group Art Unit:

For: A POLYMERIC SUBSTRATE FOR DISPLAY AND LIGHT EMITTING DEVICES

**INFORMATION DISCLOSURE STATEMENT**

Honorable Assistant Commissioner of Patents  
Washington, DC 20231

SIR:

This Information Disclosure Statement is being filed under 37 C.F.R. §1.56 for a continuing application, which relies on the filing date of its parent application, such parent application being identified as:

U.S. Patent Application Serial No.: 10/134,050

Examiner: Sow Fun Hon

Filed: 4/29/02

Allowed Date:

Inventor(s): Grant Hay et al.

Issue Batch No:

Art Unit: 1772

Title: A POLYMERIC SUBSTRATE FOR DISPLAY AND LIGHT EMITTING DEVICES

Enclosed is a copy of PTO-1449 Form listing all "prior art" cited and submitted in the parent application. Pursuant to 37 C.F.R. §1.98(d), no actual copies of the documents listed are being furnished to the PTO with this Information Disclosure Statement.

Respectfully submitted,



Andrew J. Caruso  
Patent Attorney  
REGISTRATION NO: 48,520  
TELEPHONE: (518) 387-7354

DATE: December 21, 2003

General Electric Company  
CRD Patent Docket Room 4A59  
PO Box 8,  
Schenectady, New York 12305

"EXPRESS MAIL" MAILING  
LABEL NUMBER  
EV 300767802 US

FORM PTO-1449  
(REV. 7-80)  
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

122055

SERIAL NO.

10/134,050

Applicant

Grant Hay et al.

Filing Date

04/29/02

Group

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT--

LIST OF ITEMS

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | DOCUMENT NUMBER  | DATE     | NAME         | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|------------------|----------|--------------|-------|----------|-------------------------------|
|                      | AA 6 2 2 2 0 0 3 | 04/24/02 | Hosoi et al. |       |          |                               |
|                      | AB               |          |              |       |          |                               |
|                      | AC               |          |              |       |          |                               |
|                      | AD               |          |              |       |          |                               |
|                      | AE               |          |              |       |          |                               |
|                      | AF               |          |              |       |          |                               |
|                      | AG               |          |              |       |          |                               |
|                      | AH               |          |              |       |          |                               |
|                      | AI               |          |              |       |          |                               |
|                      | AJ               |          |              |       |          |                               |
|                      | AK               |          |              |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|-----------------|------|---------|-------|----------|-------------|----|
|  |                 |      |         |       |          | YES         | No |
|  | AL              |      |         |       |          |             |    |
|  | AM              |      |         |       |          |             |    |
|  | AN              |      |         |       |          |             |    |
|  | AO              |      |         |       |          |             |    |
|  | AP              |      |         |       |          |             |    |

## OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

|    |  |
|----|--|
| AR | "Criteria for Choosing Transparent Conductors" - Roy G. Gordon - MRS Bulletin, August 2000, pp. 52-57  |
| AS | "Intelligent Process Control of Indium Tin Oxide Sputter Deposition Using Optical Emission Spectroscopy", I.L. Eisgruber, J.R. Engel, R.E. Hollingsorth, P.K. Bhat, R. Wendt - J. Vac. Sci. Technol. A 17(1), Jan./Feb. 1999, pp. 190197 |
| AT | "Influence of Apparatus Geometry and Deposition Conditions on the Structure and Topography of Thick Sputtered Coatings", John A. Thornton, J. Vac. Sci. Technol., Vol. 11, No. 4, July/Aug. 1974, pps. 666-670                           |

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.